



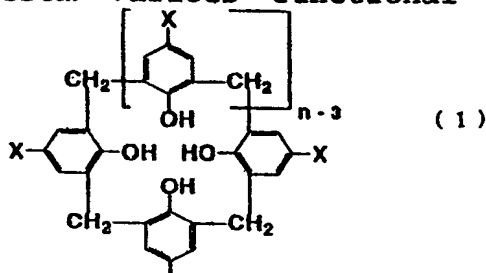
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A PROCESS FOR THE PURIFICATION OF FULLERENES
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Fullerenes are carbon-clustered spherical or ellipsoidal shell molecules typified by C_{60} . The molecules have had a great impact on the world of science since the discovery thereof by Kroto and Smalley in 1985, and have recently attracted considerable attention even in various fields of industry.

Calixarenes to be used in the present invention are cyclic or ring oligomers composed of plural phenol units linked by methylene bridges, as shown by the formula(1) below, in which n is generally an integer of 3 to 8 and X is selected from various functional groups and atomic groups.



Calixarenes are capable of including atoms or molecules in their cavities formed by the rings, where the sizes and shapes of the cavities can be varied by, for example, changing the number of the phenol units. Thus, calixarenes have played important roles as a host

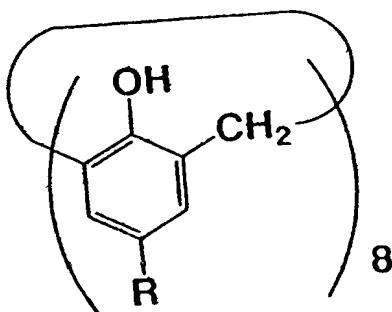
molecule in host-guest chemistry. Recently, studies have also been made on the applications utilizing the characteristic features of calixarenes.

The term "benzene-type solvent" as used hereinbefore and in the accompanying claims means a solvent which is characterised by having a benzene structure as illustrated by benzene, toluene, xylene and ethylbenzene.

CLAIM

1. A process for purifying fullerenes which comprises adding a calixarene to a solution of a fullerene mixture so as to form a calixarene/fullerene complex and decomposing the mixture to recover a specific fullerene.

2. A process for purifying fullerenes which comprises a first step of adding a calix[8]arene expressed by the general formula given below, in which R represents an alkyl group having 1 to 5 carbon atoms, to a solution of a mixture of fullerenes containing C_{60} in a benzene-type solvent as defined herein so as to precipitate C_{60} as a complex with the calix[8]arene, and a second step of decomposing the precipitated complex to separate and recover C_{60} .



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ORIGINAL
COMPLETE SPECIFICATION
STANDARD PATENT

Invention Title: A PROCESS FOR THE PURIFICATION OF
FULLERENES

The following statement is a full description of this invention, including
the best method of performing it known to us:

GH&CO REF: P23630-A:VNV:RK

A PROCESS FOR THE PURIFICATION OF FULLERENES

Field of the Invention

The present invention relates to a process for the purification of fullerenes, by which a specific fullerene, such as C_{60} , can be selectively obtained from a mixture of fullerenes.

The Prior Art

Fullerenes are carbon-clustered spherical or ellipsoidal shell molecules typified by C_{60} . The molecules have had a great impact on the world of science since the discovery thereof by Kroto and Smalley in 1985, and have recently attracted considerable attention even in various fields of industry.

In particular, in 1990 Kratshmer and Hoffman established a method for the mass synthesis of C_{60} , a spherical molecule in the shape of a soccerball consisting of 60 carbon atoms. Since then, the chemistry of C_{60} has developed in various aspects, not only as a single molecule but also in terms of molecular assemblies and derivatives therefrom. In 1991, the discovery by Hebard that a thin film of C_{60} doped with potassium exhibits superconductivity at $T_c=18^\circ K$ received considerable public attention. A paper published in 1993 reported that a C_{60} -derivative exhibits an activity suppressing effect against AIDS. Thus, there are growing expectations for fullerene applications.

Currently fullerenes are provided in the form of soot obtained by the laser vaporization or the arc discharging of carbon materials such as graphite. The soot is a mixture of the preponderant component, C_{60} , and some other bigger fullerenes such as C_{70} . There is therefore a need for efficient purification of fullerenes, in order to obtain a desired fullerene at a high purity in a high yield.

The best known conventional method for the purification of fullerenes is a chromatographic one using various types of stationary phases. However, this method is not satisfactory. For example, the use of neutral



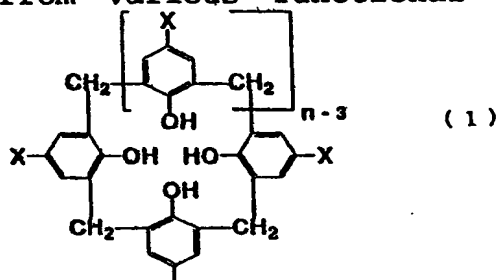
alumina as the stationary phase is disadvantageous in that an extremely large amount of hexane is needed as the developing agent because the fullerene mixture has a low solubility in hexane. When activated charcoal is used as the stationary phase, the C₆₀ adsorbed onto the charcoal is difficult to recover.

By nature, chromatographic methods suffer from the drawback that they cannot be scaled up so as to be suitable for use in the purification on an industrial scale. In addition it is said that the rate of recovery of fullerenes by chromatographic methods is at most 60 percent.

Thus, fullerenes are currently very expensive since no simple and efficient process has yet been established for the purification thereof. This has been a severe obstacle to further studies on fullerenes and development on fullerene applications.

After extensive studies to overcome the above-mentioned disadvantages, the present inventors have accomplished a novel process by which fullerenes can be efficiently purified on a large scale utilizing "calixarenes".

Calixarenes to be used in the present invention are cyclic or ring oligomers composed of plural phenol units linked by methylene bridges, as shown by the formula (1) below, in which n is generally an integer of 3 to 8 and X is selected from various functional groups and atomic groups.



Calixarenes are capable of including atoms or molecules in their cavities formed by the rings, where the sizes and shapes of the cavities can be varied by, for example, changing the number of the phenol units. Thus, calixarenes have played important roles as a host

molecule in host-guest chemistry. Recently, studies have also been made on the applications utilizing the characteristic features of calixarenes.

The present invention is based on the inclusion capability of calixarenes: A calixarene is used which has a ring size adaptable for the molecular size of a desired fullerene so as to form a calixarene/fullerene complex, from which the fullerene is later separated for recovery. For example, calixarenes having eight phenol units, i.e. calix[8]arene, are suitably used for separation of C₆₀.

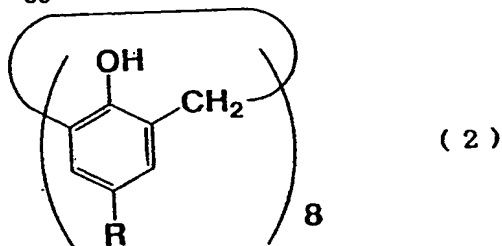
Thus, according to the concept on which the present invention is based, fullerenes can be purified by adding a calixarene to a solution of a fullerene mixture so as to form a calixarene/fullerene complex and decomposing the complex to recover a specific fullerene.

In conducting the process based on the present invention, although the solution of the fullerene mixture is most generally one in an organic solvent (a non-aqueous solvent), an aqueous solution may be employed. For example, a water-soluble calixarene, which can be obtained by substituting appropriate functional groups for the OH or the R's in the formula(1), is used to include a fullerene therein to form a calixarene/fullerene complex in an aqueous solution. Then, for decomposing the complex, the aqueous solution is subjected to a filtration, where the filtrate containing the complex is transferred into an organic solvent phase to separate the fullerene for recovery.

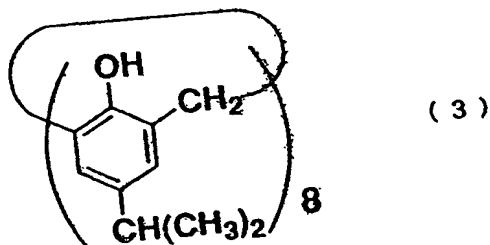
However, the most preferred embodiment of the present invention is based on the discovery that some calix[8]arenes form a complex specifically with C₆₀ in a benzene-type solvent, which complex precipitates in the solvent, but no precipitations occur with other fullerene compounds. Thus, according to the present invention, there is provided a process for purifying fullerenes which comprises a first step of adding a calix[8]arene expressed by the general formula(2) given below, in which R represents an alkyl group having 1 to 5 carbon atoms,

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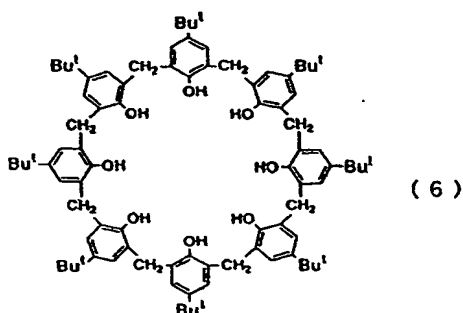
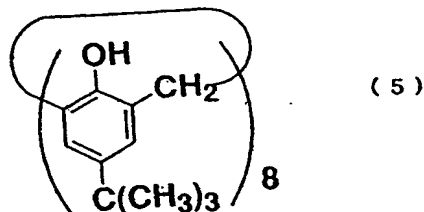
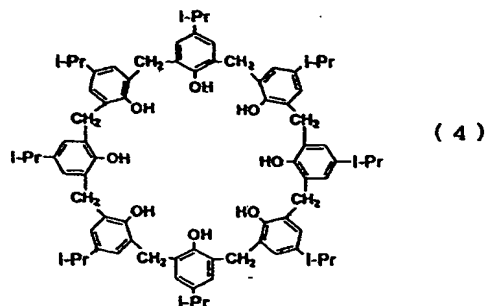
to a solution obtained by dissolving a mixture of fullerenes containing C_{60} in a benzene-type solvent so as to precipitate C_{60} as a complex with the calix[8]arene, and a second step of decomposing the precipitated complex
5 to separate and recover C_{60} .



In the formula(2), R represents an alkyl group having 1 to five carbon atoms, preferably an alkyl group having 2, 3 or 4 carbon atoms, with isopropyl group and t-butyl group being most preferred. Thus, the most
10 preferred calixarene to be used in the present invention is isopropylcalix[8]arene, as expressed by the formula(3) or (4), arid p-t-butylcalix[8]arene, as expressed by the formula (5) or (6).



15



5 P-alkylcalix[8]arene as expressed by the formula (2) and to be used in the present invention can be prepared by a Veto as described in the literature (for example, Gutsche et al., J. Am. Chem. Soc., 103, 3782(1981)). Thus, the dehydration/condensation reaction is carried out between p-alkylphenol and formaldehyde in the presence of
10 potassium hydroxide under a xylene reflux to produce the corresponding calixarene at a yield of 40 to 90%. For example, p-isopropylcalix[8]arene is produced from p-isopropylphenol by such method at a yield of 80 - 85%.

15 As the benzene-type solvent to be used for the precipitation in the first step of the process of the present invention there can be exemplified such solvents as benzene,,toluene, xylene and ethylbenzene, with benzene and toluene being preferred. In the case of p-t-butylcalix[8]arene, it is preferred to use toluene, while
20 the use of benzene is somewhat disadvantageous in terms of C₆₀ purification because not only C₆₀ but also C₇₀ are precipitated as the complexes in benzene.

The use of p-isopropylcalix[8]arene in the process of the invention is advantageous in that C₆₀ is selectively precipitated in the form of the complex both in toluene and in benzene, with the precipitation rate being somewhat accelerated in benzene. The use of p-isopropylcalix[8]arene has a further advantage in that less volume of the solvent (chloroform) needs to be used in the second step for decomposing the complex formed in the first step: This is because there exists a great difference in the solubility in benzene between the complex and C₆₀, resulting in an efficient precipitation of C₆₀ and, accordingly, high recovery thereof.

There is no restriction on the amount of the calix(8)arene to be used in the first step of the present invention. Generally the calixarene is used in such an amount as 0.1 to 100 times in moles, preferably 1 to 2 times in moles, relative to the amount of C₆₀ contained in the fullerene mixture.

While there is no specific restriction on the amount of the benzene type solvent to be used, the solvent is preferably used in the range of 2.8 to 28 litre per gram of C₆₀ and 0.5 to 5 litre per gram of the calix[8]arene. The use of the solvent in a smaller amount may tend to form the depositions of C₆₀ and/or the calix[8]arene, thereby leading to failure in the formation of the calixarene/C₆₀ complex precipitates of a homogeneous composition. On the other hand the use of a higher amount of solvent may prolong the time for the formation of the calixarene/C₆₀ complex. It is to be noted that the first step for the precipitate formation can be carried out at room temperature.

In the process of the present invention, a complex formation reaction occurs between C₆₀ and calix[8]arene dissolved in a benzene-type solvent, and the resultant complex precipitates in the solvent. The mechanism of this phenomenon is thought to be as follows: The calixarene is dissolved in the benzene-type solvent, with

its OH groups being intermolecularly hydrogen-bonded with one another in a cyclic array. However, when C₆₀ is included in the calixarene, the OH groups are undone from one another so as to be exposed in the solvent, resulting in the precipitation of the C₆₀/calixarene complex presumably due to occurrence of the interactions of the OH groups between the complexes and/or the change in the solubility of the complex.

According to the preferred embodiment of the present invention, C₆₀ of an extremely high purity can be obtained by adding to the first step (for the formation of the complex precipitate) the operation of dissolving the precipitate and then regenerating the precipitate.

Thus, the precipitate formed by the addition of the calix[8]arene in the first step of the process of the present invention is once separated from the benzene-type solvent. This separation may be accomplished in any manner, such as by filtration or centrifugation. The precipitate thus separated is again added to a benzene-type solvent, followed by heating to a temperature of 50 to 100°C. This results in dissolving the precipitate (the complex) in the solvent in the form of C₆₀ and the calix[8]arene reverted from the complex. Upon cooling the resultant solution back to room temperature, a precipitate is again formed.

Such operation consisting of the separation of the precipitate, the heating of the separated precipitate in a benzene-type solvent, and the cooling back to room temperature is conducted repeatedly as required. Then, the final precipitate is subjected to the second step of the process of the present invention. While the purity of the C₆₀ obtained by one cycle of such operation depends upon the composition of the fullerene mixture as the raw material, repeated cycles of the operation makes it possible to secure C₆₀ having a purity of almost 100%.

The filtrate formed in the first step of the process of the invention may contain higher fullerenes such as C₇₀, which, if desired, can be recovered by an appropriate

conventional method, e.g. by a chromatographic method. Through a specific combination of the calixarene and the solvent, it is possible to precipitate plural fullerenes simultaneously in the first step of the process. For example, use of p-ethylcalix[8]arene, where R is ethyl in the formula(2), in combination with benzene makes it possible to co-precipitate C₆₀ and C₇₀. In such system higher fullerenes other than C₆₀ and C₇₀ may be recovered from the precipitates of C₆₀ and C₇₀ in the first step of tile process of the invention.

The process for the purification of fullerenes of the present invention includes the second step where the complex formed as a precipitate in the first step is decomposed for the separation and recovery of C₆₀.

According to the preferred embodiment of the present invention, the second step comprises isolating or separating from the benzene-type solvent the precipitate (the C₆₀/calix[8]arene complex) formed in the first step, in which the separation may be carried out by, for example, filtration or centrifugation, and adding a chlorine containing solvent to the precipitate to make the precipitate dissolve in the solvent. This results in the decomposition of the C₆₀/calix[8]arene complex, thereby causing the precipitation of C₆₀ with the calix[8]arene being dissolved in the solvent. The C₆₀ is recovered in a high purity.

As the chlorine-containing solvent to be used in the second step of the process of the invention there can be exemplified such solvents as chloroform, methylene chloride, carbon tetrachloride and tetrachloro ethane. Preferred solvents are chloroform and methylene chloride because each has a large difference between its ability to dissolve C₆₀ and its ability to dissolve calix[8]arene, thereby enabling efficient precipitation of C₆₀. Particularly, use of chloroform is advantageous in that the decomposition of the complex proceeds smoothly even at room temperature. When

methylene chloride is used, some degree of system heating is required.

There is no specific restriction on the amount of the chlorine-containing solvent to be used in the second step of the process of the invention. With chloroform, it is preferred to use the solvent in an amount of 100 to 300 litre per grain of the calix[8]arene contained in the precipitate. A smaller amount of the solvent may lead to the contamination of C_{60} with the calix[8]arene, while use of a larger amount of the solvent tends to lower the recovery of C_{60} .

The filtrate formed in the second step of the process contains the calix(8)arene at high purity, possibly with a small amount of C_{60} . They can be recovered for reuse, by removing the solvent.

For recovering C_{60} , the precipitate of the complex formed in the first step may alternatively be decomposed by any appropriate method other than by the addition of the chlorine-containing solvent so as to cause the fullerene precipitate. Such methods include heating the complex in the toluene so as to re-dissolve C_{60} and calix[8]arene, followed by the extraction of the calix[8]arene with an alkaline aqueous solution before the regeneration of the precipitate for the recovery of C_{60} . Alternatively, the complex may be heated in the solid state in order to recover C_{60} by means of gasification decomposition or fractional sublimation.

As can be seen from the foregoing, the process of the present invention makes it possible to purify a specific fullerene, particularly C_{60} , with a high purity or recovery, from a mixture of C_{60} , C_{70} and other fullerenes. The process of the invention is also advantageous in that the amount of solvents used is very small. The process of the present invention does not require any sophisticated equipment and hence can easily be scaled up to a large scale substantially maintaining the laboratory data, thereby making the process suitable

for use in industrial purification of fullereries.
The present invention will be further illustrated by the following examples, which do not restrict the scope of the invention.

5 In the examples given below, there was used a fullerene mixture containing 72 weight % of C_{60} and 13 weight % of C_{70} . The purity of C_{60} is expressed in terms of $100 \times C_{60} / (C_{60} + C_{70})$ (by weight %) and was determined by HPLC (high performance liquid chromatography).

10 EXAMPLE 1

 A fullerene mixture (200mg) containing 144mg (0.2mmol) of C_{60} was dissolved in 60ml of toluene. The solution was added with a solution of 389mg (0.3mmol) of p-t-butylcalix[8]arene in 200ml of toluene. When the
15 resultant mixture was stirred at room temperature, precipitate formation was observed to start in about three hours. After stirring was conducted for fifteen hours, the system was subjected to filtration, by which 400mg (purity of 96.0%) of the precipitate was obtained.

20 The precipitate was added with 200ml of toluene, followed by heating to 100°C. The resulting solution was cooled to room temperature and stirred for fifteen hours. On subjecting the system to filtration, there was obtained 353mg of precipitate with 99.0% purity. The
25 same operations (i.e., solvent addition to the precipitate, heating to 100°C, cooling to room temperature and filtration) were repeated, whereby there was obtained 353mg of the precipitate with a purity of 99.8%.

30 The result of elemental analysis (C:87.96%, H:5.79%) showed that the precipitate is a 1:1 complex of C_{60} and p-t-butylcalix[8]arene.

 The precipitate thus obtained was added with 30 ml of chloroform, followed by stirring for one hour at room
35 temperature. When the system was subjected to filtration, there was obtained 100mg of a black solid, which was identified as being C_{60} through carbon-13NMR analysis. The purity of the C_{60} was determined to be



99.8% by HPLC. The recovery of the C₆₀ was 71%.

The total amounts of the solvents used in obtaining the C₆₀ with the high purity were very small, i.e., about 860ml for toluene and about 300ml for chloroform. The values are extremely small as compared with those in the case of a conventional method. For example, they are about one fifth the total amounts in the case of the method using neutral alumina.

EXAMPLE 2

A fullerene mixture (200mg) containing 144mg (0.2mmol) of C₆₀ was dissolved in 60ml of toluene. The solution was added with a solution of 389mg (0.3mmol) of P-t-butylcalix[8]arene in 200ml of toluene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about three hours. After stirring was conducted for fifteen hours, the system was subjected to filtration, by which 400mg (purity of 96.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 30ml of methylene chloride, followed by stirring for two hours at 40°C under reflux. When the system was subjected to filtration, there was obtained 125mg of a black solid. The purity of the C₆₀ was determined to be 96.0% by HPLC. The recovery of the C₆₀ was 83%. and the amount of the solvents used was only 290ml.

EXAMPLE 3

A fullerene mixture (200mg) containing 144mg (0.2mmol) of C₆₀ was dissolved in 100ml of benzene. The solution was added with a solution of 389mg (0.3mmol) of p-t-butylcalix[8]arene in 100ml of benzene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about one hour. After stirring was conducted for five hours, the system was subjected to filtration, by which 427mg (purity of 90.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 30ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to

filtration, there was obtained 130mg of a black solid.

The purity of the C_{60} was determined to be 99.8% by HPLC.

EXAMPLE 4

5 A fullerene mixture (200mg) containing 144mg (0.2mmol) of C_{60} was dissolved in 60ml of toluene. The solution was added with a solution of 356mg (0.3mmol) of p-isopropylcalix[8]arene in 150ml of toluene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about six
10 hours. After stirring was conducted for thirty hours, the system was subjected to filtration, by which 880mg (purity of 96.0%) of the precipitate was obtained.

The precipitate thus obtained was added with 30ml of
15 chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 135mg of a black solid. The purity of the C_{60} was determined to be 96.0% by HPLC. The recovery of the C_{60} was 90%.

20 EXAMPLE 5

A fullerene mixture (200mg) containing 144mg (0.2mmol) of C_{60} was dissolved in 100ml of benzene. The solution was added with a solution of 3 5 6mg (0.3mmol) of p-isopropylcalix[8]arene in 100ml of benzene. When
25 the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about one hour. After stirring was conducted for five hours, the system was subjected to filtration, by which 380mg (purity of 96.0%) of the precipitate was obtained.

30 The precipitate was added with 100ml of benzene, followed by heating to 80°C. The resulting solution was cooled to room temperature and stirred for five hours. On subjecting the system to filtration, there was obtained 335mg of precipitate with 99.0% purity. The
35 same operation (i.e., solvent addition to the precipitate, heating to 80°C cooling to room temperature and filtration) were repeated, whereby there was obtained precipitate with purity of 99.8%.

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The precipitate thus obtained was added with 10ml of chloroform, followed by stirring for one hour at room temperature.

When the system was subjected to filtration, there was obtained 109mg of a black solid.

- 5 The purity of the C₆₀ was determined to be 99.8% by HPLC. The recovery of the C₆₀ was 75%.

EXAMPLE 6

- A fullerene mixture (200mg) containing 144mg (0.2mmol) of C₆₀ was dissolved in 60ml of benzene. The solution was added with a solution of 322mg (0.3mmol) of p-ethylcalix[8]arene in 200ml of benzene. When the resultant mixture was stirred at room temperature, precipitate formation was observed to start in about one hour. After stirring was conducted for five hours, the system was subjected to filtration, by which 414mg (purity of 92.0%) of the precipitate was obtained.
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- The precipitate thus obtained was added with 50ml of chloroform, followed by stirring for one hour at room temperature. When the system was subjected to filtration, there was obtained 125mg of a black solid. The purity of the C₆₀ was determined to be 92.0% by HPLC. The recovery of the C₆₀ was 80%.
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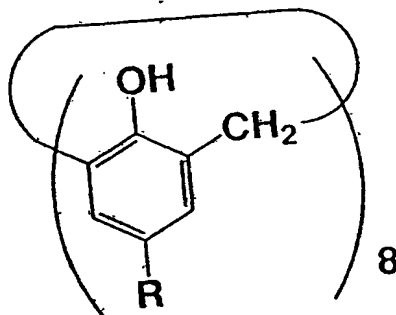
- The term "benzene-type solvent" as used hereinbefore and in the accompanying claims means a solvent which is characterised by having a benzene structure as illustrated by benzene, toluene, xylene and ethylbenzene.
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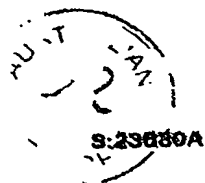
THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A process for purifying fullerenes which comprises adding a calixarene to a solution of a fullerene mixture so as to form a calixarene/fullerene complex and decomposing the mixture to recover a specific fullerene.

2. A process for purifying fullerenes which comprises a first step of adding a calix[8]arene expressed by the general formula given below, in which R represents an alkyl group having 1 to 5 carbon atoms, to a solution of a mixture of fullerenes containing C_{60} in a benzene-type solvent as defined herein so as to precipitate C_{60} as a complex with the calix[8]arene, and a second step of decomposing the precipitated complex to separate and recover C_{60} .



3. The process for purifying fullerenes as claimed in claim 2, in which the first step further includes separating the precipitate of the complex from the benzene-type solvent, adding said complex to a benzene-type solvent as defined herein followed by heating to a temperature of 50 to 100°C so as to dissolve the complex in the solvent, and then cooling the resultant solution to room temperature again to precipitate the complex, such separation of the precipitate, heating of the separated precipitate in a benzene-type solvent as defined herein and cooling of the solution to room temperature being conducted repeatedly as required, prior to providing a final precipitate to the second step.



4. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the second step comprises separating from the benzene-type solvent the precipitate of the complex formed in the first step, and adding a chlorine containing solvent to the separated precipitate to cause the precipitation of C_{60} with the calix[8]arene being dissolved in the chlorine-containing solvent.

5. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the benzene-type solvent is toluene or benzene.

6. The process for purifying fullerenes as claimed in claim 4, in which the benzene-type solvent is toluene or benzene, and the chlorine-containing solvent is chloroform or methylene chloride.

7. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is one where R is an alkyl group having 2, 3 or 4 carbon atoms.

8. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene is one where R is an alkyl group having 2, 3 or 4 carbon atoms, and the chlorine containing solvent is chloroform or methylene chloride.

9. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is p-isopropylcalix[8]arene with R being isopropyl group, and the benzene-type solvent is benzene or toluene.

10. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene is p-isopropylcalix[8]arene with R being isopropyl group, the benzene-type solvent is benzene or toluene, and the chlorine containing solvent is chloroform.

11. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is p-t-butylcalix[8]arene with R being t-butyl group, and the benzene-type solvent is toluene.

12. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene is p-t-butylcalix[8]arene with R being t-butyl group, and the

benzene-type solvent is toluene, and the chlorine-containing solvent is chloroform.

13. The process for purifying fullerenes as claimed in claim 2 or claim 3, in which the calix[8]arene is p-ethylcalix[8]arene with R being ethyl group, and the
5 benzene-type solvent is benzene.

14. The process for purifying fullerenes as claimed in claim 4, in which the calix[8]arene is p-ethylcalix[8]arene with R being ethyl group, and the
10 benzene-type solvent is benzene, and the chlorine-containing solvent is chloroform.

15. A process for purifying fullerenes substantially as herein described with reference to any one of Examples 1 to 6.

15 Dated this 6th day of June 1997

RESEARCH DEVELOPMENT CORPORATION OF JAPAN;
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ABSTRACT

The present invention relates to a process for purifying fullerenes which comprises adding a calixarene to a solution of a fullerene mixture so as to form a calixarene/fullerene complex and decomposing the mixture to recover a specific fullerene.

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